



Serial No. 10/011,860  
IB dated June 3, 2004

|   |  |                                   |                           |
|---|--|-----------------------------------|---------------------------|
| FORM PTO-1449   |  | Atty. Docket No.:<br>1201.1124101 | Serial No.:<br>10/011,860 |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT |  | Applicant: Gust H. Bardy et al.   |                           |
|   |  | Confirmation No. 6000             |                           |
|   |  | Filing Date:<br>November 5, 2001  | Group Art:<br>3762        |

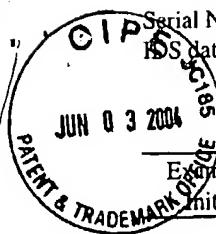
U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date       | Name            | Filing Date If Appropriate |
|------------------|--------------|------------|-----------------|----------------------------|
| AA               | H1,905       | 10/03/2000 | Hill            |                            |
| AB               | 3,653,387    | 04/04/1972 | Ceier           |                            |
| AC               | 3,710,374    | 01/09/1973 | Kelly           | <b>RECEIVED</b>            |
| AD               | 3,911,925    | 10/14/1975 | Tillery, Jr.    | JUN 09 2004                |
| AE               | 4,157,720    | 06/12/1979 | Greatbatch      |                            |
| AF               | 4,191,942    | 03/04/1980 | Long            | TECHNOLOGY CENTER 3700     |
| AG               | 4,223,678    | 09/23/1980 | Langer et al.   |                            |
| AH               | 4,248,237    | 02/03/1981 | Kenny           |                            |
| AI               | 4,291,707    | 09/29/1981 | Heilman et al.  |                            |
| AJ               | 4,314,095    | 02/02/1982 | Moore et al.    |                            |
| AK               | 4,402,322    | 09/06/1983 | Duggan          |                            |
| AL               | 4,407,288    | 10/04/1983 | Langer et al.   |                            |
| AM               | 4,424,818    | 01/10/1984 | Doring et al.   |                            |
| AN               | 4,450,527    | 05/22/1984 | Sramek          |                            |
| AO               | 4,567,900    | 02/04/1986 | Moore           |                            |
| AP               | 4,602,637    | 07/29/1986 | Elmqvist et al. |                            |
| AQ               | 4,603,705    | 08/05/1986 | Speicher et al. |                            |
| AR               | 4,693,253    | 09/15/1987 | Adams           |                            |
| AS               | 4,765,341    | 08/23/1988 | Mower et al.    |                            |
| AT               | 4,800,883    | 01/31/1989 | Winstrom        |                            |
| AU               | 4,830,005    | 05/16/1989 | Woskow          |                            |
| AV               | 4,944,300    | 07/31/1990 | Saksena         |                            |
| AW               | 5,105,810    | 04/21/1992 | Collins et al.  |                            |
| AX               | 5,109,842    | 05/05/1992 | Adinolfi        |                            |

Kurt Muller

Page 1 of 5

9/17/04

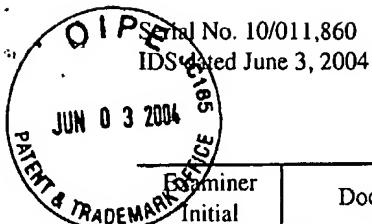


| Examiner Initial | Document No. | Date      | Name             | Filing Date If Appropriate |
|------------------|--------------|-----------|------------------|----------------------------|
| AY               | YDM          | 5,129,392 | Bardy et al.     |                            |
| AZ               |              | 5,133,353 | Hauser           |                            |
| BA               |              | 5,144,946 | Weinberg et al.  |                            |
| BB               |              | 5,184,616 | Weiss            |                            |
| BC               |              | 5,191,901 | Dahl et al.      |                            |
| BD               |              | 5,203,348 | Dahl et al.      |                            |
| BE               |              | 5,230,337 | Dahl et al.      | RECEIVED                   |
| BF               |              | 5,255,692 | Neubauer et al.  | JUN 09 2004                |
| BG               |              | 5,261,400 | Bardy            | TECHNOLOGY CENTER 3700     |
| BH               |              | 5,300,106 | Dahl et al.      |                            |
| BI               |              | 5,331,966 | Bennett et al.   |                            |
| BJ               |              | 5,342,407 | Dahl et al.      |                            |
| BK               |              | 5,366,496 | Dahl et al.      |                            |
| BL               |              | 5,376,103 | Anderson et al.  |                            |
| BM               |              | 5,376,104 | Sakai et al.     |                            |
| BN               |              | 5,385,574 | Hauser et al.    |                            |
| BO               |              | 5,391,200 | KenKnight et al. |                            |
| BP               |              | 5,405,363 | Kroll et al.     |                            |
| BQ               |              | 5,411,539 | Neisz            |                            |
| BR               |              | 5,411,547 | Causey, III      |                            |
| BS               |              | 5,413,591 | Knoll            |                            |
| BT               |              | 5,423,326 | Wang et al.      |                            |
| BU               |              | 5,476,503 | Yang             |                            |
| BV               |              | 5,509,923 | Middleman et al. |                            |
| BW               |              | 5,509,928 | Acken            |                            |
| BX               |              | 5,531,765 | Pless            |                            |
| BY               |              | 5,531,766 | Kroll et al.     |                            |
| BZ               |              | 5,534,019 | Paspa            |                            |
| CA               | ✓            | 5,534,022 | Hoffmann et al.  |                            |
| CB               | YDM          | 5,597,956 | Ito et al.       |                            |

Kristen Muller

Page 2 of 5

9/17/04



| Examiner Initial | Document No.   | Date       | Name              | Filing Date If Appropriate |
|------------------|----------------|------------|-------------------|----------------------------|
| CC               | 101M 5,601,607 | 02/11/1997 | Adams             |                            |
| CD               | 5,603,732      | 02/18/1997 | Dahl et al.       |                            |
| CE               | 5,618,287      | 04/08/1997 | Fogarty et al.    |                            |
| CF               | 5,620,477      | 04/15/1997 | Pless et al.      |                            |
| CG               | 5,643,328      | 07/01/1997 | Cooke et al.      | JUN 09 2004                |
| CH               | 5,645,586      | 07/08/1997 | Meltzer           | TECHNOLOGY CENTER 3700     |
| CI               | 5,658,317      | 08/19/1997 | Haefner et al.    |                            |
| CJ               | 5,658,321      | 08/19/1997 | Fayram et al.     |                            |
| CK               | 5,674,260      | 10/07/1997 | Weinberg          |                            |
| CL               | 5,690,648      | 11/25/1997 | Fogarty et al.    |                            |
| CM               | 5,690,683      | 11/25/1997 | Haefner et al.    |                            |
| CN               | 5,697,953      | 12/16/1997 | Kroll et al.      |                            |
| CO               | 5,713,926      | 02/03/1998 | Hauser et al.     |                            |
| CP               | 5,766,226      | 06/16/1998 | Pedersen          |                            |
| CQ               | 5,776,169      | 07/07/1998 | Schroepel         |                            |
| CR               | 5,814,090      | 09/29/1998 | Latterell et al.  |                            |
| CS               | 5,836,976      | 11/17/1998 | Min et al.        |                            |
| CT               | 5,895,414      | 04/20/1999 | Sanchez-Zambrano  |                            |
| CU               | 5,919,211      | 07/06/1999 | Adams             |                            |
| CV               | 5,919,222      | 07/06/1999 | Hjelle et al.     |                            |
| CW               | 5,925,069      | 07/20/1999 | Graves et al.     |                            |
| CX               | 5,935,154      | 08/10/1999 | Westlund          |                            |
| CY               | 5,941,904      | 08/24/1999 | Johnston et al.   |                            |
| CZ               | 6,014,586      | 01/11/2000 | Weinberg et al.   |                            |
| DA               | 6,026,325      | 02/15/2000 | Weinberg et al.   |                            |
| DB               | 6,058,328      | 05/02/2000 | Levine et al.     |                            |
| DC               | 6,093,173      | 06/25/2000 | Balceta et al.    |                            |
| DD               | 6,095,987      | 08/01/2000 | Shmulewitz et al. |                            |
| DE               | 6,128,531      | 10/03/2000 | Campbell-Smith    |                            |
| DF               | 101M 6,144,866 | 11/07/2000 | Miesel et al.     |                            |

Karen Muller

9/17/04

JUN 03 2004



| Examiner Initial | Document No.   | Date       | Name            | Filing Date<br>If Appropriate |
|------------------|----------------|------------|-----------------|-------------------------------|
| DG               | 6,185,450      | 02/06/2001 | Seguine et al.  |                               |
| DH               | 6,411,844      | 06/25/2002 | Kroll et al.    |                               |
| DI               | 6,647,292      | 11/11/2003 | Bardy et al.    | JUN 09 2004                   |
| DJ               | 2001/027330 A1 | 10/04/2001 | Sullivan et al. | TECHNOLOGY CENTER 3700        |

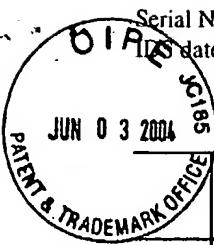
RECEIVED

FOREIGN PATENT DOCUMENTS

|    | Document No.      | Date       | Country | Translation<br>Yes No |
|----|-------------------|------------|---------|-----------------------|
| DK | DE 298 01 807 U1  | 07/16/1998 | Germany | Yes                   |
| DL | EP 0 095 727 A1   | 12/07/1983 | Europe  |                       |
| DM | EP 0 316 616 A2,3 | 05/24/1989 | Europe  | Yes                   |
| DN | EP 0 347 353 A1   | 12/20/1989 | Europe  | Yes                   |
| DO | EP 0 517 494 A3   | 12/09/1992 | Europe  |                       |
| DP | EP 0 517 494 B1   | 12/09/1992 | Europe  |                       |
| DQ | EP 0 518 599 A2   | 12/16/1992 | Europe  |                       |
| DR | EP 0 518 599 B1   | 12/16/1992 | Europe  |                       |
| DS | EP 0 536 873 B1   | 04/14/1993 | Europe  |                       |
| DT | EP 0 586 858 B1   | 03/16/1994 | Europe  |                       |
| DU | EP 0 627 237 A1   | 12/07/1994 | Europe  |                       |
| DV | EP 0 641 573 A2   | 03/08/1995 | Europe  |                       |
| DW | EP 0 641 573 A3   | 03/08/1995 | Europe  |                       |
| DX | EP 0 677 301 A1   | 10/18/1995 | Europe  |                       |
| DY | EP 0 917 887 A1   | 05/26/1999 | Europe  |                       |
| DZ | EP 0 923 130 A1   | 06/16/1999 | Europe  | Yes                   |
| EA | EP 1 000 634 A1   | 05/17/2000 | WIPO    | Yes                   |
| EB | WO 93/19809 A1    | 10/14/1993 | WIPO    |                       |
| EC | WO 97/29802 A2    | 08/21/1997 | WIPO    |                       |
| ED | WO 98/25349 A1    | 06/11/1998 | WIPO    |                       |
| EE | WO 99/03534 A1    | 01/28/1999 | WIPO    |                       |
| EF | WO 99/37362 A1    | 07/29/1999 | WIPO    |                       |
| EG | WO 99/53991 A1    | 10/28/1999 | WIPO    |                       |
| EH | WO 00/41766 A1    | 07/20/2000 | WIPO    |                       |

*Karen Muller*

9/17/04



|    |     | Document No.    | Date       | Country | Translation<br>Yes No  |
|----|-----|-----------------|------------|---------|------------------------|
| EI | KDM | WO 00/50120 A1  | 08/31/2000 | WIPO    |                        |
| EJ |     | WO 01/43649 A1  | 06/21/2001 | WIPO    |                        |
| EK |     | WO 01/56166 A2  | 08/02/2001 | WIPO    | <b>RECEIVED</b>        |
| EL |     | WO 02/22208 A2  | 03/21/2002 | WIPO    | JUN 09 2004            |
| EM |     | WO 02/22208 A3  | 03/21/2002 | WIPO    | TECHNOLOGY CENTER 3700 |
| EN |     | WO 02/24275 A2  | 03/28/2002 | WIPO    |                        |
| EO |     | WO 02/24275 A3  | 03/28/2002 | WIPO    |                        |
| EP | ✓   | WO 02/068046 A1 | 09/06/2002 | WIPO    |                        |
| EQ | KDM | WO 03/018121 A2 | 03/06/2003 | WIPO    |                        |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |   |
|----|---|
| ER | Friedman, Richard A. et al., "Implantable Defibrillators In Children: From Whence to Shock," <u>Journal of Cardiovascular Electrophysiology</u> , Vol. 12, No. 3, March 2001, pp. 361-362.  |
| ES | Gradaus, Rainer et al., "Nonthoracotomy Implantable Cardioverter Defibrillator Placement in Children: Use of Subcutaneous Array Leads and Abdominally Placed Implantable Cardioverter Defibrillators in Children," <u>Journal of Cardiovascular Electrophysiology</u> , Volume 12, No.3, March 2001, pp. 356-360. |
| ET | Mirowski, M. et al., "Automatic Detection and Defibrillation of Lethal Arrhythmias-A New Concept," <u>JAMA</u> , Vol. 213, No. 4, July 27, 1970, pp. 615-616.   |
| EU | Olson, Walter H. et al., "Onset and Stability for Ventricular Tachyarrhythmia Detection in an Implantable Pacer-Cardioverter-Defibrillator," <u>IEEE</u> , (1987) pp. 167-170.  |
| EV | Schuder, John C., "Completely Implanted Defibrillator," <u>JAMA</u> , Vol. 214, No. 6, November. 9, 1970. p. 1123 (single sheet).   |
| EW | Schuder, John C., "The Role of an Engineering Oriented Medical Research Group in Developing Improved Methods and Devices for Achieving Ventricular Defibrillation: The University of Missouri Experience," <u>PACE</u> , Vol. 16, January 1993, pp. 95-124.   |
| EX | Schuder, John C. et al., "Experimental Ventricular Defibrillation with an Automatic and Completely Implanted System," <u>Trans. Amer. Soc. Artif. Int. Organs</u> , Vol. XVI(1970) pp. 207-212.   |
| EY | Schuder, John C. et al., "Standby Implanted Defibrillators," <u>Arch Intern. Med</u> , Vol. 127, February 1971, p. 317 (single sheet).  |
| EZ | Schuder, John C. et al., "Transthoracic Ventricular Defibrillation in the Dog with Truncated and Untruncated Exponential Stimuli," <u>IEEE Transactions on Bio-Medical Engineering</u> , Vol. BME-18, No. 6, November 1971, pp. 410-415.  |
| FA | Tietze U. et al., "Halbleiter-Schaltungstechnik," ©Springer-Verlag (Berlin, Germany), (1991), pp. 784-786.  |
| FB | Walters, R.A. et al., "Analog to Digital Conversion Techniques in Implantable Devices," <u>Annual International Conference of the IEEE Engineering in Medicine and Biology Society</u> , Vol. 13 No. 4 (1991) Pg. 1674-1676.  |

EXAMINER: Vicki Miller

DATE CONSIDERED: 9/17/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.